

華星證券有限公司 SINOSTAR SECURITIES LIMITED (下稱 "本公司" hereinafter "the Company")

本公司為獲准從事第 1 類(證券交易)受規管活動之持牌法團(證監會中央編號: BDH 216)和香港聯合交易所有限公司的交易所參與者。

The Company is a licensed corporation to carry on Type 1 (Dealing in Securities) regulated activity (SFC CE No: BDH 216) and an Exchange Participant of the Stock Exchange of Hong Kong Limited.

服務收費表 Service Charges

證券交易服務 Trade-related Services	
經紀佣金 Brokerage Commission	成交金額之 0.25% (最低收費港幣 80 元) 0.25% on Transaction Amount (Min Charge HK\$80)
從價印花稅 Stamp Duty	每港幣 1,000 元成交金額收費港幣 1 元 (不足港幣 1,000 元亦作 1,000 元計) HK\$1.00 for every HK\$1,000.00 of Transaction Amount (or part thereof) - paid by both buyer & seller 買賣雙方均需繳付
交易徵費 Transaction Levy	證監會交易徵費 成交金額之 0.0027% SFC Transaction Levy of Transaction Amount 0.0027%
交易費 Trading Fee	聯交所交易費 成交金額之 0.005% SEHK Trading Fee of Transaction Amount 0.005%
交易費 (包括市場及中央結算有關徵費) Settlement Fee / CCASS Fee	每宗成交金額之 0.004% (最低收費港幣 5 元 / 最高收費港幣 100 元) 0.004% on each Transaction Amount (Min HK\$5.00 / Max HK\$100.00)
實物股票處理及交收服務 Scrip handling and settlement-related services	
交收指示 (即 SI) Settlement Instruction	每一提貨交收指示收取手續費港幣 50 元 HK\$50.00 per Transaction (For Delivery Instructions)
投資者交收指示 (即 ISI) Investor Settlement Instruction	每一提貨交收指示收取手續費港幣 30 元 HK\$30.00 per Transaction (For Delivery Instructions)
代客將交收指示轉遞予對手行家 Delivery of Settlement Instruction	每一交收指示收費港幣 150 元 HK\$150.00 of each Settlement Instruction
轉手紙厘印費 Transfer Stamp Duty Deed	每張轉手紙港幣 5 元 HK\$5.00 for each transfer deed
強制性補購股份費 Compulsory share buy-back fee	每次港幣 300 元 HK\$300 per transaction
代理人及企業行動 Nominee services and corporate activities	
實物股份提取費 Stock Withdrawal Fee	每一買賣單位收取港幣 3.5 元及手續費 50 元 (不足一手亦當一手計) HK\$3.50 per Board Lot (Odd Lots also charge HK\$3.50 each) & HK\$50.00 Handling Charge
代收股息(股票) / 紅股 / 認股證 Dividend (Shares) / Bonus / Warrants Collection Fee	現金股息之 0.5% (最低收費港幣 25 元)+中央結算收費(股息總額 x 0.12%)及過戶費每手港幣 1.5 元 0.5% on Dividend Amount (Min HK\$25.00)+CCASS Charge (Dividend Amount x 0.12%) and Scrip Fee HK\$1.50 per lot 股權分發: 登記及過戶費每手 1.5 元及手續費港幣 25 元 Entitled Bonus: Script Fee HK\$1.50 per lot & HK\$25.00 Handling Charge
牛熊證強制回收 / 到期 CBBC Mandatory Call / Expiry	有現金價值的牛熊證, 每手收取手續費港幣 0.7 元+中央結算收費(每手港幣 0.8 元) Handling Charge (HK\$0.7 per Board Lot) + CCASS Charge (HK\$0.8 per Board Lot) for CBBC with cash value 無現金價值的牛熊證, 免收手續費 Nil Handling Charge for CBBC with no cash value
行使換股證 / 供股權 Exercise Warrant / Right Issue / Open Offer	供股價、過戶處費用、中央結算所費用及手續費港幣 50 元 HK\$50.00 for cost of exercise, Scrip Fee, ad valorem stamp duty, CCASS fee & Handling Charges

代收股息(基金和債券) Dividend (Fund and Bond)	現金股息之 0.5% (最低收費港幣 50 元) 0.5% on Dividend Amount (Min HK\$50.00)
行政託管費用(基金和債券) Custodian and Portfolio Fee (Fund and Bond)	0.1% - 0.25% (以基金或債券淨值計算) 0.1% - 0.25% (Based on the portfolio value)
在收購要約下提交股份費 Cash/Open Offer/Special/Conditional Offer	收購金額 0.25% (最低港幣 80 元) 0.25% on gross offer amount (Minimum: HK\$80)
申請發還利息、紅股及股權等 Claims of Entitlement	追討成本及每次每種股票手續費港幣 200 元 Cost of claim plus HK\$200.00 per stock per claim
代客辦理過戶 (實貨) Registration and Transfer Fee of Physical Stock in Client's Name	每一買賣單位收取港幣 2.5 元及手續費港幣 300 元 Scrip Fee by Registration HK\$2.50 per lot & HK\$300.00 Handling Charge

借貸及其他服務 Financing and other services

認購新股 Application of IPO	現金申請: 手續費港幣 50 元 融資申請: 手續費港幣 100 元 Non-Financing: HK\$50.00 per application Financing: HK\$100.00 per application
股份合併/分拆 Consolidation / Subdivision	免費 Nil
配股 Placement	手續費港幣 100 元 Handling charges:HK\$100.00
現金帳戶過期利息 Cash account overdue interest	最優惠利率 + 5% Prime rate (quoted by Bank of China) plus 5%
保證金帳戶過期利息 Margin account overdue interest	最優惠利率 + 9% Prime rate (quoted by Bank of China) plus 9%
電匯費用 Telegraph Transfer (T/T)	每單交易收費港幣 150 元 + 實質銀行收費 HK\$150 + Actual bank charge
終止支票付款費 Stop Payment Charge	每次港幣 200 元 HK\$200 per case
銀行本票收費 Bank draft	每次港幣 50 元 + 實質銀行收費 HK\$50 plus bank charge
退票 Returned Cheque	每一退票收費港幣 200 元 HK\$200.00 per each Returned Cheque

帳戶服務 ACCOUNT MAINTENANCE

證券結餘或帳戶證明書收費 Confirmation of stock/cash balance	如果是由核數師要求查帳免收費用, 其他每次港幣 100 元 Nil if the confirmation is issued from auditors, others HK\$100
取回成交單或月結單收費 Photocopy of account statement/contract note	取回當月月結單免費, 一年內收港幣 100 元, 超過一年則收取港幣 200 元; 取回三個月內成交單免費, 三個月以上收港幣 100 元, 超過一年則收取港幣 200 元 For duplicate copy of statement: free for the current month, HK\$100 for the previous months, HK\$200 for the required month beyond a period of 12 months For copy of contract note: free for the latest three months, HK\$100 if greater than three months, HK\$200 if greater than twelve months and thereafter

- 2005 年 12 月 19 日 起暫停 投資者賠償徵費 0.002% SFC Investor Compensation Levy 0.002%